



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: Green  
Serial No.: 09/389,939  
Filed: September 3, 1999  
For: FINANCIAL INSTRUMENT FILTERING SYSTEM AND  
METHOD THEREFOR  
Art Unit: 3627  
Examiner: Cuff, Michael A.

Attorney Docket: 321.5452 USU

AMENDMENT

Commissioner for Patents  
Washington, D. C. 20231

Sir:

In reply to the first Office Action dated September 27, 2002, please amend  
the above patent application as follows:

IN THE CLAIMS

Please amend the claims as follows:

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JAN 03 2003

**GROUP 3600**

18. (Amended) A method of searching a universe of financial instruments by  
performing a plurality of n filter passes of said universe with a computer having a  
processor, a memory, a viewing screen and a computer input device, each said  
filter pass having a filter condition, said method comprising:

01/02/2003 JBALINAN 00000046 09389939

01 FC:2201  
02 FC:2202

42.00 OP  
9.00 OP